

Investor Complaints Data – Khambatta Securities Limited as a Merchant Banker

Consolidated Investors Complaints Data

Data for every month ending – August 2026							
SN	Received from	Pending as at the end of last month	Received during The particular month	Resolved during the particular month*	Total Pending during the Particular month#	Pending Complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	4	Nil	4	Nil	2
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	4	Nil	4	Nil	2

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month*	Pending at the end of the particular month#
1	April 2026	Nil	Nil	Nil	Nil
2	May 2026	Nil	Nil	Nil	Nil
3	June 2026	Nil	Nil	Nil	Nil
4	July 2026	Nil	Nil	Nil	Nil
5	August 2026	Nil	4	4	Nil

^Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022-2023	Nil	1	1	Nil
2	2023-2024	Nil	Nil	Nil	Nil
3	2024-2025	Nil	8	8	Nil
4	2025-2026	Nil	7	7	Nil
5	2026-2027 (in progress)	Nil	4	4	Nil
	Grand Total	Nil	20	20	Nil