

Khambatta Securities Ltd.

ESTD. 1918

Annexure- B

Investor complaints – Khambatta Securities Limited as a Research Analyst

Data for the month ending – May 2023							
Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	1	1	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	September 2022	Nil	Nil	Nil	Nil
2	October 2022	Nil	1	1	Nil
3	November 2022	Nil	Nil	Nil	Nil
4	December 2022	Nil	Nil	Nil	Nil
5	January 2023	Nil	Nil	Nil	Nil
6	February 2023	Nil	Nil	Nil	Nil
7	March 2023	Nil	Nil	Nil	Nil
8	April 2023	Nil	Nil	Nil	Nil
9	May 2023	Nil	Nil	Nil	Nil
10	June 2023	Nil	Nil	Nil	Nil
11	July 2023	Nil	Nil	Nil	Nil
12	August 2023	Nil	Nil	Nil	Nil
Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous month	Received	Resolved*	Pending
1	2019-20	Nil	Nil	Nil	Nil
2	2020-21	Nil	Nil	Nil	Nil
3	2021-22	Nil	Nil	Nil	Nil
4	2022-23	Nil	1	1	Nil
5	2023-24 (in process)	Nil	Nil	Nil	Nil
	Grand Total	Nil	1	1	Nil

*Inclusive of complaints of previous years resolved in the current year.