

Khambatta Securities Ltd.

ESTD. 1918

Annexure-B

Investor complaints – Khambatta Securities Limited as a Stock Broker

Data for every month ending : May 2023								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	Nil

*Should include complaints of previous months resolved in the current month, if any. **Should include total complaints pending as on the last day of the month, if any. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month. days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	September 2022	Nil	Nil	Nil	Nil
2	October 2022	Nil	Nil	Nil	Nil
3	November 2022	Nil	Nil	Nil	Nil
4	December 2022	Nil	Nil	Nil	Nil
5	January 2023	Nil	Nil	Nil	Nil
6	February 2023	Nil	Nil	Nil	Nil
7	March 2023	Nil	Nil	Nil	Nil
8	April 2023	Nil	Nil	Nil	Nil
9	May 2023	Nil	Nil	Nil	Nil
10	June 2023	Nil	Nil	Nil	Nil
11	July 2023	Nil	Nil	Nil	Nil
12	August 2023	Nil	Nil	Nil	Nil

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Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous month	Received	Resolved*	Pending
1	2018-2019	Nil	Nil	Nil	Nil
2	2019-2020	Nil	Nil	Nil	Nil
3	2020-2021	Nil	Nil	Nil	Nil
4	2021-2022	Nil	Nil	Nil	Nil
5	2022-2023	Nil	Nil	Nil	Nil
6	2023-2024 (in process)	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil