

Khambatta Securities Ltd.

ESTD. 1918

Annexure- B

Investor complaints – Khambatta Securities Limited as a Research Analyst

Data for the month ending: March – 2025							
Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints					
Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending
1	April 2024	Nil	Nil	Nil	Nil
2	May 2024	Nil	Nil	Nil	Nil
3	June 2024	Nil	Nil	Nil	Nil
4	July 2024	Nil	Nil	Nil	Nil
5	August 2024	Nil	Nil	Nil	Nil
6	September 2024	Nil	Nil	Nil	Nil
7	October 2024	Nil	Nil	Nil	Nil
8	November 2024	Nil	Nil	Nil	Nil
9	December 2024	Nil	Nil	Nil	Nil
10	January 2025	Nil	Nil	Nil	Nil
11	February 2025	Nil	Nil	Nil	Nil
12	March 2025	Nil	Nil	Nil	Nil
Trend of annual disposal of complaints					
Sr. No	Year	Carried forward from previous month	Received	Resolved*	Pending
1	2020-21	Nil	Nil	Nil	Nil
2	2021-22	Nil	Nil	Nil	Nil
3	2022-23	Nil	1	1	Nil
4	2023-24	Nil	Nil	Nil	Nil
5	2024-25 (in process)	Nil	Nil	Nil	Nil
	Grand Total	Nil	1	1	Nil

*Inclusive of complaints of previous years resolved in the current year.